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**PATENT**

Atty. Docket No. 8966-000004/01A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Peter Scott ANDREWS et al.

CONF. NO.: 8530

SERIAL NO.: 10/692,351

GROUP: 2815

FILED: October 22, 2003

EXAMINER: Eugene Lee

FOR: POWER SURFACE MOUNT LIGHT EMITTING DIE PACKAGE

**REVOCATION OF POWER OF ATTORNEY, SUBSTITUTE POWER OF ATTORNEY,  
AND CHANGE IN CORRESPONDENCE ADDRESS**

Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

February 16, 2006

Dear Sir:

The Assignee of the above-identified patent application, Cree, Inc., having a business office at 4600 Silicon Drive, Durham, North Carolina 27703, as evidenced by the attached Statement Under 37 C.F.R. §3.73(b) and attachments thereto, hereby revokes any and all previous powers of attorney for the above-identified patent application or issued patent, and hereby appoints Julio A. Garceran, Reg. No. 37,138 of Cree, Inc., 4600 Silicon Drive, Durham, North Carolina 27703, telephone (919) 313-5343; and Matthew J. Lattig, Reg. No. 45,274 and Gary D. Yacura, Reg. No. 35,416, and all individuals assigned to Customer No. **30593**, as the attorneys of the Assignee to receive all correspondence relating to the above-identified application or patent and to transact all business in the United States Patent and Trademark Office connected therewith, with full power of substitution and revocation, and the Assignee ratifies any act done by the Assignee's attorneys in respect of this patent.

The new correspondence address is:


HARNESS, DICKEY & PIERCE, P.L.C.  
P.O. Box 8910  
Reston, VA 20195

Customer Number 30593

The undersigned (whose title is supplied below) is empowered to sign this Revocation and Substitute Power of Attorney on behalf of the Assignee. **Please note the new Attorney Docket No. 8966-000004/01A, corresponding to this patent application.**

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

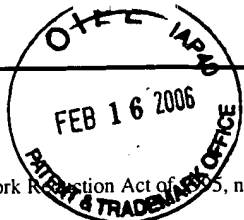
2/13/06  
Date

  
Signature

Julio A. Garceran

Typed or printed name

Associate General Counsel, Intellectual Property, Cree, Inc.  
Title



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Cree, Inc.Application No./Patent No.: 10/692,351 Filed/Issued Date: October 22, 2003Entitled: Power Surface Mount Light Emitting Die Package

Cree, Inc. Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

States that it is :

1. ☒ [X] the assignee of the entire right, title, and interest; or
2. ☐ [ ] an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

In the patent application/patent identified above by virtue of either:

A. ☒ [X] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014637, Frame 0890, a copy of which is attached hereto.

OR

B. ☐ [ ] A Chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, a copy of which is attached hereto.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
4. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ [ ] Additional documents in the chain of title are listed on a supplemental sheet.

☒ [X] Copies of assignments or other documents in the chain of title are attached.

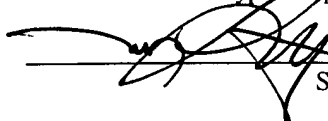
[Note: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

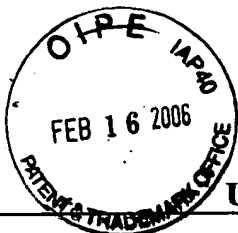
2/16/06  
Date

Matthew J. Lattig, Reg. No. 45,274

Typed or printed name

  
Signature

Attorney of Record  
Title



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 20, 2004

PTAS



\*102588860A\*

D. JAMES CHUNG  
6601 KOLL CENTER PARKWAY  
SUITE 245  
PLEASANTON, CA 94566

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/22/2003

REEL/FRAME: 014637/0890

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ANDREWS, PETER S.

DOC DATE: 10/21/2003

ASSIGNOR:

LOH, BAN P.

DOC DATE: 10/21/2003

ASSIGNEE:

CREE, INC.

4600 SILICON DRIVE

DURHAM, NORTH CAROLINA 27703

SERIAL NUMBER: 10692351

FILING DATE: 10/22/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: POWER SURFACE MOUNT LIGHT EMITTING DIE PACKAGE

DOCKET NUMBER: P0289US2

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MARGARET LASALLE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

10-31-2003

Form PTO-1595  
(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

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U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Peter S. Andrews  
Ban P. Loh

10-22-03

## 2. Name and address of receiving party(ies)

Name: Cree, Inc.

Internal Address: \_\_\_\_\_

Street Address: 4600 Silicon Drive

City: Durham State: NC Zip: 27703

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other \_\_\_\_\_

Execution Date: 10/21/03

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/21/03

A. Patent Application No.(s) \_\_\_\_\_

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: D. James Chung

Internal Address: \_\_\_\_\_

Street Address: 6601 Koll Center Parkway

Suite 245

City: Pleasanton State: CA Zip: 94566

6. Total number of applications and patents involved: ☐ 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

## 8. Deposit account number: \_\_\_\_\_

DO NOT USE THIS SPACE

## 9. Signature.

D. James Chung

Name of Person Signing

Signature

October 22, 2003

Date

Total number of pages including cover sheet, attachments, and documents: ☐ 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents &amp; Trademarks, Box Assignments

Washington, D.C. 20231

22386 U.S. PTO  
10/692351

102203

10/25/2003 (RECEIVED) 00000000 10692351

NO FOLIOES

40.00 03

**ASSIGNMENT OF PATENT APPLICATION**

WHEREAS, we, having a post office address that follows our name,

Peter Scott Andrews 221 Continental Drive, Durham, North Carolina 27712; and  
Ban P. Loh 8 Trescott Drive, Durham, North Carolina 27712

(herein referred to collectively as ASSIGNORS), are the joint inventors of an invention entitled "Power Surface Mount Light Emitting Die Package," as described and claimed in the specification forming part of the United States patent application executed herewith;

WHEREAS, Cree, Inc. (hereafter referred to as ASSIGNEE), a corporation organized under the laws of North Carolina, having a place of business at 4600 Silicon Drive, Durham, North Carolina 27703 is desirous of acquiring the entire right, title, and interest in and to the invention and in and to any letters patent that may be granted therefore in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said invention, said application and any and all letters patent which may be granted for said invention in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues, and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from said United States application to which said foreign applications are entitled by virtue of international convention, treaty, or otherwise, said invention, application and all letters patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors an assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer, and sale not been made. ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue all letters patent on said invention to ASSIGNEE. ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign letters patent on said invention, for litigation regarding said letters patent, or for the purpose of protection title to said invention or letters patent therefore.

IN WITNESS WHEREOF, We have hereunto signed our names on the day and year set forth below.

  
\_\_\_\_\_  
Peter Scott Andrews


10/21/03  
\_\_\_\_\_  
Date

STATE OF NORTH CAROLINA       )  
  ) SS  
COUNTY OF Durham       )

On this 21st day of October, 2003, before me personally came the above named Peter Scott Andrews, who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

  
NOTARY PUBLIC

My Commission Expires: October 31, 2007

  
Ban P. Loh

10/21/03  
Date

STATE OF NORTH CAROLINA )  
COUNTY OF Durham ) SS

On this 21st day of October, 2003, before me personally came the above named Ban P. Loh, who is personally known by me or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

  
NOTARY PUBLIC

My Commission Expires: October 31, 2007